

SYPA Record of Breaches

Year	Ref	Date Identified	Type of Breach (e.g. personal data, contributions, criminal activity, etc)	Description	Action Taken in Response to Breach	Possible Impact (Red/Amber/Green)	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Breach Open/Closed
2020/21	49	08/02/21	Personal Data	Transfer quotation issued to wrong member following receipt of updated contact information.	Member notified and address corrected.	Green	22/04/2021 (LPB)	NO	NO	NA. Both records corrected	Open pending any Board comments
2020/21	50	05/03/21	Personal Data	Early leaver information (no figures) issued to member at wrong address as incorrect address provided by employer on monthly return.	Member notified and address corrected.	Green	22/04/2021 (LPB)	NO	NO	Employer notified of their error.	Open pending any Board comments

Year	Ref	Date Identified	Description of Cybersecurity Incident	Action Taken in Response to Incident	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Incident Open/Closed
2020/21	CS5	15/02/21	Phishing Email received, purporting to be from Hearthstone Investment Management.	All users informed of phishing email. The message was removed from the mailboxes of affected users and marked for investigation by Mimecast with the URL in the email auto blocked by Mimecast. Users confirmed that the links has not been followed and no personal credentials had been compromised.	22/04/2021 (LPB)	NO	NO	Phishing email testing is planned as part of IT work programme to check users remain vigilant.	Closed